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November 3, 2015

2015 NOV 13 AM 10:53

Board of Ethics  
P.O. Box 4368  
Baton Rouge, LA 70821  
Fax 225- 381-7271

Re: Late fee assessment for the November 4, 2014 Election  
10-G Campaign Finance Disclosure Report filed 36 days late

To Whom It May Concern:

This letter is to humbly serve as my formal request for a waiver of the late fee assessment referenced above. I would also like to reserve my right to appear before the Board of Ethics in connection with this request, if necessary, based on the following facts. On August 22, 2014, I entered the November 4, 2014 election in the Parish of Lafayette, Louisiana for City Judge City Court, Div. A, City of Lafayette. When I qualified with the Lafayette Parish Clerk of Court's Office, my name Joseph H. Riley II and my address 715 St. Thomas Street, Lafayette, Louisiana 70506 were and still are registered the same way as they were electronically filed with the Louisiana Board of Ethics as required including all of my candidate's financial disclosure reports, candidate's special report, and candidate's election day expenditures report, respectively (See attached Exhibits 1-4). Additionally, my name Joseph H. Riley II appears the same way as on the Nov. 4, 2014 ballot and both my name and address 715 St. Thomas Street, Lafayette, Louisiana 70506 were and still are published as such on the Louisiana Secretary of State's website and the Louisiana State Bar Association's website, respectively (See attached Exhibits 5 & 6).

During the election, we closely followed the dates and deadlines listed in the candidate's schedule of reporting (See attached Exhibit 7). At that time, we thought we had diligently and correctly followed all of the dates and deadlines and that we had electronically filed (on time and early in some cases) all of the necessary and required forms/reports and their attachments listed in the schedule because we had received no notice or reason to think otherwise. For example, we filed the first candidate's report 3 days early including the 30-P Campaign Finance Disclosure form and its 3 attachments Schedules A-1, A-2, and E-1 (See attached Exhibit 1, Report No. 42358). We then filed the second candidate's report on time including the 10-P Campaign Finance Disclosure form and its 2 attachments Schedules A-1 and E-1 (See attached Exhibit 2, Report No. 43503).

Next, although not required, we filed the candidate's Special Report on time including the Special 48 hour report and its attachment Schedule A (See attached Exhibit 3, Report No. 44011). Finally, we filed the candidate's Election Day Expenditures report on time including the Election Day Expenditures report and its attachment Schedule B (See attached Exhibit 4, Report No. 44803). In addition, we paid the registration fee and filed our committee's Statement of Organization with the Louisiana Board of Ethics and I also attended a 2 hour Mandatory Continuing Legal Education (MCLE) seminar titled "LA Campaign Finance Disclosure Act and Canon 7 of the Code of Judicial Conduct" sponsored by the Judicial Administration of the Louisiana Supreme Court (See attached Exhibit 8).

Fifty-seven (57) days after the primary election, on December 30, 2014 I received a letter in my mail box via USPS regular mail from the Louisiana Board of Ethics addressed to "Joseph H. "Joe" Riley, II, P.O. Box 51884, Lafayette, LA" (See attached Exhibit 9). The letter is dated and postmarked November 21, 2014, and the address on the envelope is partially covered by a rectangular yellow USPS "Notify Sender" sticker glued on top dated December 26, 2014, informing the sender of my 715 St. Thomas Street, Lafayette, LA address. On the top are the words printed in bold red "PLEASE OPEN IMMEDIATELY."

As instructed, I immediately opened the envelope and inside was a letter/Notice dated November 21, 2014 informing me that I was required to file an additional campaign finance form (the 10<sup>th</sup> Day Prior to the General Report), that I should contact the Campaign Finance Division immediately if I had reason to believe that I was not required to file this report, that my receipt of this notice meant that we (the Ethics Board) considered me to be required to file the report, that candidates in the runoff would be required to file additional reports, and that automatic late fees would be assessed for any report that is filed after November 26, 2014 (See attached exhibit 10).

As the letter advised, I immediately contacted the Campaign Finance Division that same day. I called the phone number provided and spoke to a Cheryl (last name unknown) and explained the above stated circumstances to her including the lack of receiving any notice from the Ethics Board informing me that I was required to file the additional report. We thought the 10<sup>th</sup> day prior to general (10-G) report only applied to those candidates that participated in the primary election who were now participating in the general election. We had already filed and reported all of our contributions for the entire election. And as the letter states if "receipt of this notice means that (the Ethics Board) considers (me) to be required to file this report;" then, a priori (or a contrario sensu), non-receipt of this notice, or any other notice for that matter, must have meant that the Ethics Board considered me not to be required to file this report or any other additional report. Apparently, we were wrong.

According to Cheryl, we had misread the schedule and that a report was due, that despite its name the 10-G report applied to all candidates that participated in the primary election whether or not they are participating in the general election and whether or not all of our contributions were already reported and that after we file the report, we would receive a penalty letter and thereupon would be able to request a waiver and/or an appearance before the Board explaining the circumstances. Cheryl was very kind and informative and before I hung up I verified with her that my name Joseph H. Riley II and my address 715 St. Thomas Street, Lafayette, Louisiana 70506 were and still are registered the same way as

they were electronically filed with the Louisiana Board of Ethics as required including all of my candidate's financial disclosure reports, candidate's special report, and candidate's election day expenditures report, respectively. She confirmed that my information had been updated. In less than forty-eight (48) hours, on New Year's Day I filed the additional candidate's 10-G report (See attached Exhibit 11).

Seven (7) days later, on January 7, 2015 I received a letter via USPS certified mail from the Louisiana Board of Ethics again addressed to "Joseph H. "Joe" Riley, II, P.O. Box 51884, Lafayette, LA" (See attached Exhibit 12). This time, the letter came certified and is dated and postmarked December 2, 2014, and the address on the envelope is partially covered by a rectangular yellow USPS "Notify Sender" sticker glued on top dated December 31, 2014, informing the sender of my 715 St. Thomas Street, Lafayette, LA address (See attached Exhibit 13). I opened the envelope and inside was a Notice of Delinquency addressed to me stating that my 10-G report had not been received by November 26, 2014, that I was subject to automatic late filing fees (\$60 per diem) for every day that report is late, that all candidates for Major or District level offices are required to electronically file their financial disclosure reports, that additional penalties may apply for a report filed after December 12, 2014, and that once my report was received, I would be sent a notice for the total late fees assessed (See attached Exhibit 14).

Because I had received this notice after the 10-G report was filed, and although dated December 2, 2014, out of caution I immediately contacted the Campaign Finance Division that same day to inquire whether or not this delinquency notice was the penalty letter, and if not, whether or not a penalty letter would be mailed to me, given the circumstances. I also wanted to confirm my name and address in order to prevent any more notices being sent incorrectly by the Ethics Board. Again, I spoke to Cheryl and she informed me that the notice of delinquency was just that and not the penalty letter that a penalty letter would be mailed to me and that I should expect it sometime in the near future. She also confirmed my name and address are as they were electronically filed with the Louisiana Board of Ethics and that any more future correspondence would be sent to my address at 715 St. Thomas Street, Lafayette, LA 70506.

Three hundred fifty-four (354) days after the primary election, on October 23, 2015 I received a letter via USPS certified mail from the Louisiana Board of Ethics addressed to "Joseph H. "Joe" Riley, II, 715 St. Thomas St., Lafayette, LA 70506" (See attached Exhibits 15 & 16). I opened the envelope and inside was the late fee assessment letter (aka "penalty letter") that I was told would be sent to me (See attached Exhibits 17 & 18). In sum, the penalty letter states that the 10-G Campaign Finance Disclosure form was filed thirty-six (36) days late, that enclosed is an assessment of a late fee of \$1,000 pursuant to La R.S. 18:1505.4, et. seq. against me and my chairman/treasurer for failure to timely file the 10-G report, and that I have twenty (20) days to dispute this assessment from receipt of this letter in the form of a request for a waiver to the attention of the Louisiana Board of Ethics or an appeal to the Ethics Adjudicatory Board, or both.

As stated above, this letter is to humbly serve as my formal request for a waiver of the late fee assessment. I would also like to reserve my right to appear before the Board of Ethics in connection with this request, if necessary, based on the above stated facts. The root of the problem is that I did not receive the Ethics

Board's notice that I had to file this additional 10-G report until forty (40) days after the notice was first mailed to me because the notice was mailed to a wrong address. And without proper notice, there is no due process. However, as soon as I did receive the notice, I immediately contacted the Campaign Finance Division and filed the additional 10-G report in less than forty-eight (48) hours.

In this case, it is apparent that the list from which this untimely notice (and subsequent correspondence) from the Ethics Board was generated and mailed and the electronic filings by which we registered my name and address as required are incongruent or otherwise do not coincide and thus resulted in my lack of receiving any notice timely. In this case, both the name/addressee and the address were incorrectly labeled despite the fact that, as stated above, my name Joseph H. Riley II and my address 715 St. Thomas Street, Lafayette, Louisiana 70506 were and still are registered the same way as they were electronically filed with the Louisiana Board of Ethics including all of my candidate's financial disclosure reports, candidate's special report, and candidate's election day expenditures report, respectively. Even now, there is still dissimilarity between the way my name was electronically filed with the Ethics Board and the way it appeared on the November 4, 2014 ballot versus the way it is presented in the latest correspondence and order as addressed to "Joseph H. "Joe" Riley, II."

The late fee assessment letter stated that pursuant to La R.S. 18:1505.4, a late fee of \$1,000 was assessed against me and my chairman/treasurer for failure to timely file the 10-G report. La R.S. 18:1505.4 provides: "A.(1) Any candidate, the treasurer or chairman of a political committee, or any other person required to file any reports under this Chapter, ***who knowingly fails to file, or who knowingly fails to timely file*** any such reports as are required by this Chapter may be assessed a civil penalty as provided in R.S. 18:1511.4.1 for each day until such report is filed" (Emphasis mine). The important word here is "knowingly."

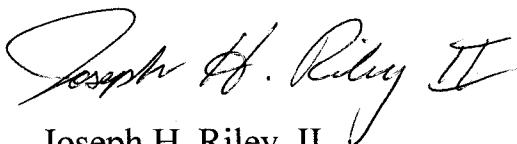
In this case, if anything, the fact that my name and address on record as filed with the Louisiana Board of Ethics versus a different name and address as mailed by the Louisiana Board of Ethics as well as our actions as reflected in the record and evidence as a result of this discrepancy clearly shows and illustrates that we were without knowledge, that we did not knowingly fail to file or timely file any such reports. For example, on one hand, had we been timely notified at the proper address of our requirement to file the 10-G report and thereafter did not file the report until thirty-six (36) days later, then it could be considered that we knowingly failed to timely file the report. In this case however, had we been timely notified at the proper address of our requirement to file the 10-G report, given the same circumstances, we would have filed the report in less than forty-eight (48) hours and this request would never have been written. Although the 10-G report was filed thirty-six days late, unfortunately for me, we did not receive the Ethics Board's notice that I had to file this additional 10-G report until forty (40) days after the notice was first mailed to me because it was mailed to a wrong address.

The record and evidence clearly shows that we were diligent during the entire election process and that we had otherwise filed all of our necessary and required forms/reports. We electronically filed as required all of our campaign finance reports and their attachments on time and early. We even filed a report that we did not have to file and I attended seminar classes about the LA Campaign Finance Disclosure Act and Canon 7 of the Code of Judicial Conduct sponsored by the Judicial Administration of the Louisiana Supreme Court. We timely filed our

committee's Statement of Organization with the Louisiana Board of Ethics and we responded with immediate urgency (in the middle of a holiday) as soon we received our first and only notice that we were required to file form 10-G. In addition, our prompt response to the Campaign Finance Division every time we did receive any correspondence from the Ethics Board illustrates our due diligence and willingness to comply with the rules.

I now humbly request a waiver of the late fee assessment. Given all of the facts, analysis and reasoning as presented above, it would be unfair and Draconian for the Ethics Board to enforce this assessment given the lack of notice, given our prompt efforts and actions to mitigate the consequences as a result and given the fact that the assessment penalty itself is more than thirty-six percent (36%) of our total combined contributions for the entire election. I apologize in advance for the length of this document, but the facts are as they are stated and I tried to present them in a concise and chronological manner. I also deeply appreciate your considerate attention to this matter. I would be pleased to appear before the Ethics Board, if necessary, and can provide original documents submitted with this request as may be required. I thank you for your time and look forward to hearing from you soon.

Sincerely,



Joseph H. Riley, II

EXHIBIT 1

## CANDIDATE'S REPORT

(to be filed by a candidate or his principal campaign committee)

### OFFICE USE ONLY

**Report Number:** 42358

**Date Filed:** 10/3/2014

**Report Includes Schedules:**

Schedule A-1

Schedule A-2

Schedule E-1

**1. Qualifying Name and Address of Candidate**

JOSEPH H. RILEY, II  
715 St. Thomas Street  
Lafayette, LA 70506

**2. Office Sought (Include title of office as well as parish, city, town and/or election district.)**

City Judge  
Lafayette, City of Lafayette

**3. Date of Primary**

11/4/2014

This report covers from 8/22/2014 through 9/25/2014

**4. Type of Report:**

- |   |   |
|---|---|
| <input type="checkbox"/> 180th day prior to primary           | <input type="checkbox"/> 40th day after general       |
| <input type="checkbox"/> 90th day prior to primary            | <input type="checkbox"/> Annual (future election)     |
| <input checked="" type="checkbox"/> 30th day prior to primary | <input type="checkbox"/> Supplemental (past election) |
| <input type="checkbox"/> 10th day prior to primary            |   |
| <input type="checkbox"/> 10th day prior to general            | <input type="checkbox"/> Amendment to prior           |

**5. FINAL REPORT if:**

- ☐ Withdrawn      ☐ Filed after the election AND all loans and debts paid
- ☐ Unopposed

**6. Name and Address of Financial Institution (You are required by law to use one or more banks, savings and loan associations, or money market mutual fund as the depository of all campaign funds.)**

IBERIABANK  
1001 Johnston Street  
Lafayette, LA 70501

**7. Full Name and Address of Treasurer**

DANA K LARRIMER  
715 St. Thomas Street  
Lafayette, LA 70506

**9. Name of Person Preparing Report**

DANA K LARRIMER

Daytime Telephone: --

10. WE HEREBY CERTIFY that the information contained in this report and the attached schedules is true and correct to the best of our knowledge, information and belief, and that no expenditures have been made nor contributions received that have not been reported herein, and that no information required to be reported by the Louisiana Campaign Finance Disclosure Act has been deliberately omitted.

This \_\_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_

Joseph H. Riley, II  
Signature of Candidate/Chairperson  
(To be signed by Chairperson only if report by principal campaign committee)

337-442-5145  
Daytime Telephone

Dana K Larrimer  
Signature of Treasurer

--  
Daytime Telephone

8. FOR PRINCIPAL CAMPAIGN COMMITTEES ONLY  
a. Name and address of principal campaign committee, committee's chairperson, and subsidiary committees, if any (use additional sheets if necessary).

On attached sheet

EXHIBIT 2

# CANDIDATE'S REPORT

(to be filed by a candidate or his principal campaign committee)

## OFFICE USE ONLY

Report Number: 43503

Date Filed: 10/27/2014

Report Includes Schedules:

Schedule A-1

Schedule E-1

### 1. Qualifying Name and Address of Candidate

JOSEPH H. RILEY, II  
715 St. Thomas Street  
Lafayette, LA 70506

### 2. Office Sought (Include title of office as well as parish, city, town and/or election district.)

District  
Lafayette  
Lafayette

### 3. Date of Primary

11/4/2014

This report covers from 9/26/2014 through 10/15/2014

### 4. Type of Report:

\_\_\_\_\_ 180th day prior to primary \_\_\_\_\_ 40th day after general  
\_\_\_\_\_ 90th day prior to primary \_\_\_\_\_ Annual (future election)  
\_\_\_\_\_ 30th day prior to primary \_\_\_\_\_ Supplemental (past election)  
X \_\_\_\_\_ 10th day prior to primary  
\_\_\_\_\_ 10th day prior to general \_\_\_\_\_ Amendment to prior

### 5. FINAL REPORT if:

\_\_\_\_\_ Withdrawn \_\_\_\_\_ Filed after the election AND all loans and debts paid  
\_\_\_\_\_ Unopposed

### 6. Name and Address of Financial Institution (You are required by law to use one or more banks, savings and loan associations, or money market mutual fund as the depository of all campaign funds.)

IBERIABANK  
1001 Johnston Street  
Lafayette, LA 70501

### 7. Full Name and Address of Treasurer

DANA K LARRIMER  
715 St. Thomas Street  
Lafayette, LA 70506

### 9. Name of Person Preparing Report

DANA K LARRIMER

Daytime Telephone --

10. WE HEREBY CERTIFY that the information contained in this report and the attached schedules is true and correct to the best of our knowledge, information and belief, and that no expenditures have been made nor contributions received that have not been reported herein, and that no information required to be reported by the Louisiana Campaign Finance Disclosure Act has been deliberately omitted.

This \_\_\_\_\_ day of \_\_\_\_\_

Joseph H. Riley, II  
Signature of Candidate/Chairperson  
(To be signed by Chairperson only if report by principal campaign committee)

337-442-5145  
Daytime Telephone

Dana K Larrimer  
Signature of Treasurer

--  
Daytime Telephone

8. FOR PRINCIPAL CAMPAIGN COMMITTEES ONLY  
a. Name and address of principal campaign committee, committee's chairperson, and subsidiary committees, if any (use additional sheets if necessary).

On attached sheet

EXHIBIT 3

## CANDIDATE'S SPECIAL REPORT

(to be filed by a candidate or his principal campaign committee)

This form is filed during the 20 day period immediately preceding an election to report (1) all receipts from a single source in excess of \$1,000 by major office candidates; in excess of \$500 by district office candidates; or, in excess of \$250 by any other office candidates, and/or (2) any payments exceeding \$200 to any person who endorses candidates and who is required to file campaign finance disclosure reports.

*All candidates who have had any such transactions within the 20 days immediately preceding an election are required to report any such transaction on this form within 48 hours of the time the transaction occurred.*

<p>1. Qualifying Name and Address of Candidate</p> <p><b>JOSEPH H. RILEY, II</b>          715 St. Thomas Street          Lafayette, LA 70506</p>	<p>2. Office Sought (Include title of office as well as parish, city, town and/or election district.)</p> <p>Major          Lafayette</p>	<p style="text-align: center;"><b>OFFICE USE ONLY</b></p> <p><b>Report Number:</b> 44011</p> <p><b>Date Filed:</b> 11/1/2014</p> <p><b>Report Includes Schedules:</b>          Schedule A</p>
<p>3. Name and address of principal campaign committee          (Applicable only if candidate has a principal campaign committee)</p> <p><b>JOSEPH H. RILEY, II CAMPAIGN FUND</b>          715 St. Thomas Street            Lafayette, LA 70506</p>		
<p>4. Date of Election <u>11/4/2014</u></p> <p>Primary <input checked="" type="checkbox"/> General <input checked="" type="checkbox"/> (Check one)</p>		
<p>5. a. Name of Person Preparing Report</p> <p><b>DANA K LARRIMER</b></p> <p>b. Daytime Telephone <u>---</u></p>		
<p>6. WE HEREBY CERTIFY that the information contained in this report and the attached schedules is true and correct to the best of our knowledge, information and belief, and that no expenditures have been made nor contributions received that are required to be disclosed have not been reported herein, and that no information required to be reported by the Louisiana Campaign Finance Disclosure Act has been deliberately omitted.</p>  <p>This _____ day of _____</p>  <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <p style="text-align: center;"><u>Joseph H. Riley, II</u></p> <p>Signature of Candidate/Chairperson (To be signed by Chairperson only if report by principal campaign committee)</p>    <p style="text-align: center;">_____              Signature of Treasurer</p> </div> <div style="width: 45%;"> <p style="text-align: center;"><u>337-442-5145</u></p> <p>Daytime Telephone Number</p>    <p style="text-align: center;">_____              Daytime Telephone Number</p> </div> </div>		

Form 103, Rev. 9/04, Page Rev. 6/08

(to be filed by a candidate or his principal campaign committee)

1. Qualifying Name and Address of Candidate

2. Office Sought (Include title of office as well as parish, city, town and/or election district.)

OFFICE USE ONLY

Report Number: 44803

Date Filed: 11/14/2014

**Report includes Schedules:**  
Schedule B

3. Name and address of principal campaign committee  
(Applicable only if candidate has a principal campaign committee)

JOSEPH H. RILEY, II CAMPAIGN FUND  
715 St. Thomas Street

Lafayette, LA 70506

4. Date of Election 11/4/2014

Primary: ☒ General: ☐ (Check one)

### 5. Total Expenditures by Category

- |    |   |                       |
|----|---|-----------------------|
| a. | Television Advertising (Schedule A)   | _____                 |
| b. | Radio Advertising (Schedule A)  | _____                 |
| c. | Newspaper Advertising (Schedule A)  | _____                 |
| d. | Services of Election Day Workers (Schedule B)                               | _____ <b>\$300.00</b> |
| e. | Payments to Organizations for Election Day Activities/Services (Schedule C) | _____                 |

For any category in which no election day expenditures were made, write -0- next to the category in item 5. Any schedules not required to be completed may be omitted from this report.

6. a. Name of Person Preparing Report **DANA K LARRIMER**

b. Daytime Telephone \_\_\_\_\_

7. WE HEREBY CERTIFY that the information contained in this report and the attached schedules is true and correct to the best of our knowledge, information and belief, and that no expenditures have been made nor contributions received that are required to be disclosed have not been reported herein, and that no information required to be reported by the Louisiana Campaign Finance Disclosure Act has been deliberately omitted.

This                      day of                      ,

Joseph H. Riley, II

Signature of Candidate/Chairperson (To be signed by Chairperson only if report by principal campaign committee)

337-442-5145

Daytime Telephone Number

Signature of Treasurer

Daytime Telephone Number

# EXHIBIT 5

## City Judge City Court, Division A, City of Lafayette 1 to be elected

Name/Address/Phone	Filed Date	Party/Race/Gender
"Francie" Bouillion 420 Lippi Blvd. Lafayette, LA 70508 337-234-6303	08/20/2014	No Party White Female
Joseph H. Riley II 715 St. Thomas St. Lafayette, LA 70506 337-442-5145	08/22/2014	Republican White Male

## City Judge City Court, Division B, City of Lafayette 1 to be elected

Name/Address/Phone	Filed Date	Party/Race/Gender
Douglas Saloom 104 Westmoreland Dr. Lafayette, LA 70506 337-984-8627	08/20/2014  Unopposed	Democrat White Male

## City Marshal City Court, City of Lafayette 1 to be elected

Name/Address/Phone	Filed Date	Party/Race/Gender
Joseph B. Cormier 804 E. Alexander St. Lafayette, LA 70501 337-232-4268	08/21/2014	Democrat Black Male
Kip Judice 147 Grand Ave. Lafayette, LA 70503 337-319-4547	08/20/2014	No Party White Male
Earl "Nickey" Picard 117 Martin Oaks Dr. Lafayette, LA 70501 337-233-3963	08/20/2014	Democrat White Male
Brian Pope 95 Woods Crossings #200 Lafayette, LA 70508 337-280-6084	08/20/2014	Republican White Male

EXHIBIT 6

## LSBA Membership Directory

### Membership Directory Search

In accordance with Supreme Court Rule XIX, the LSBA Membership Directory provides current contact information for members of the Louisiana State Bar Association.

[View Status Descriptions](#)

You may search for members using any combination of FIRST NAME, LAST NAME or CITY.  
Member's Primary Address (Public Record) is shown.

First Name:

Joseph

Last Name:

Riley

City:

Lafayette

[Find Lawyer](#)

Sort By: Last Name ▾ ☒ ascending ☐ descending

**Mr. Joseph Henry Riley II**

715 Saint Thomas St

Lafayette, LA 70506

Phone: (337) 442-5145

Fax:

Email: jhriley4@yahoo.com

Firm: Attorney at Law

Based on Member's Mailing Address:

Parish: Lafayette

Board District: 3rd - LSBA Board District

Judicial District: 15th - Judicial District

Date Admitted: April 20, 2000

EXHIBIT 7

# SCHEDULE OF REPORTING AND FILING DATES FOR CANDIDATES & PACs SUPPORTING OR OPPOSING CANDIDATES

## PRIMARY ELECTION – November 4, 2014

## GENERAL ELECTION – December 6, 2014

NO.	REPORT TYPE	REPORT COVERS PERIOD FROM:	REPORT COVERS PERIOD THROUGH:	REPORT DUE BY:*	FILED BY:
1.	180 <sup>th</sup> day prior to primary (180-P)	<ul style="list-style-type: none"> <li>if 2013 annual report filed† – <b>January 1, 2014</b></li> <li>if first report - date of first contribution/ expenditure</li> </ul>	April 28, 2014	<b>May 8, 2014</b>	major office candidates & PACs supporting or opposing only
2.	90 <sup>th</sup> day prior to primary (90-P)	<ul style="list-style-type: none"> <li>if first report - date of first contribution/expenditure</li> <li>if 180-P filed – <b>April 29, 2014</b></li> </ul>	July 27, 2014	<b>August 6, 2014</b>	major office candidates & PACs supporting or opposing only
3.	30 <sup>th</sup> day prior to primary (30-P)	<ul style="list-style-type: none"> <li>District/Any level candidates, if 2013 annual report filed† – <b>January 1, 2014</b></li> <li>if first report - date of first contribution/expenditure</li> <li>if 90-P filed – <b>July 28, 2014</b></li> </ul>	September 25, 2014	<b>October 6, 2014</b>	all candidates & PACs supporting or opposing in the primary election
4.	10 <sup>th</sup> day prior to primary (10-P)	September 26, 2014	October 15, 2014	<b>October 27, 2014</b>	all candidates & PACs supporting or opposing in the primary election
•	Special (48 hour) report (s)	October 16, 2014	November 4, 2014	within 48 hours of transaction	candidates & PACs supporting or opposing having certain transactions (see over)
5.	Election Day Expenditures	See instructions on reverse side	November 4, 2014	<b>November 14, 2014</b>	all candidates & PACs supporting or opposing in the primary election
6.	10 <sup>th</sup> day prior to general (10-G)	October 16, 2014	November 16, 2014	<b>November 26, 2014</b>	<b>MUST BE FILED BY ALL CANDIDATES &amp; PACs SUPPORTING OR OPPOSING IN PRIMARY ELECTION</b>
•	Special (48 hour) report (s)	November 17, 2014	December 6, 2014	within 48 hours of transaction	candidates & PACs supporting or opposing having certain transactions (see over)
7.	Election Day Expenditures	See instructions on reverse side	December 6, 2014	<b>December 16, 2014</b>	all candidates & PACs supporting or opposing in the general election
8.	40 <sup>th</sup> day after general (40-G)	November 17, 2014	January 5, 2015	<b>January 15, 2015</b>	all candidates & PACs supporting or opposing in the general election
9.	Supplemental & Annual	November 17, 2014, if not in general January 6, 2015, in general	December 31, 2014 December 31, 2015	<b>February 17, 2015</b> <b>February 16, 2016</b>	candidates & PACs supporting or opposing with outstanding debts or loans or having additional contributions or expenditures

\* Date received either physically or electronically, by facsimile or e-mail, in the office of the supervisory committee or date of postmark or date received on a return receipt requested form from the United States Post Office or receipt or invoice from commercial delivery service. Law requires that candidates for "major offices" and "district offices" file campaign finance reports electronically.

† An annual report is due by February 18, 2014, if contributions received or expenditures made in 2013.



*Mandatory  
Continuing  
Legal  
Education*

## MCLE Transcripts

**November 3, 2015**

**Mr. Joseph Henry Riley II**  
715 Saint Thomas St  
Lafayette, LA 70506

**Bar ID Number:** 26714

**MCLE Status** Eligible

**Requirements Category** Normal Requirement

### MCLE Transcripts Category: Normal Requirement

Cert. Date	Course Name	Source	Type	Location	Ttl. Hours	Eth.	Prof.	LPM
7/22/2015	LADB Free CLE Seminars	Louisiana Attorney Disciplinary Board	CLE	Lafayette	7.00	2.00	1.00	1.00
1/1/2015	Carry Forward 2015	MCLE Committee	CLE_CARRY		0.50	0.00	0.00	0.00
Total					7.50	2.00	1.00	1.00

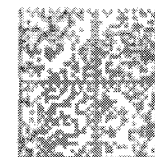
### MCLE Transcripts Category: Normal Requirement

Cert. Date	Course Name	Source	Type	Location	Ttl. Hours	Eth.	Prof.	LPM
12/11/2014	CLE by the Hour	Lafayette Bar Association	CLE	Lafayette	1.00	0.00	1.00	0.00
9/10/2014	LA Campaign Finance Disclosure Act and Canon 7 of the Code of Judicial Conduct	Supreme Court of Louisiana/Judicial Administration	CLE	Lafayette	2.00	1.00	0.00	0.00
7/9/2014	LADB Free CLE Seminars	Louisiana Attorney Disciplinary Board	CLE	Lafayette	7.50	3.50	1.00	0.00
4/8/2014	Advanced Tips for Legal Research on FASTCASE (2014)	LSBA-Practice Assistance Program	CLE_COMPU	Webcast	1.00	0.00	0.00	0.00
4/7/2014	Introduction to Legal Research on Fastcase (2014)	LSBA-Practice Assistance Program	CLE_COMPU	Webcast	1.00	0.00	0.00	0.00
1/1/2014	Carry Forward 2014	MCLE Committee	CLE_CARRY		0.50	0.00	0.00	0.00
Total					13.00	4.50	2.00	0.00

EXHIBIT 9

LOUISIANA BOARD OF ETHICS  
P. O. Box 4368  
Baton Rouge, LA 70821

**PLEASE OPEN  
IMMEDIATELY**



U.S. POSTAGE PITNEY BOW  
ZIP 70802 \$ 000.48  
02 1W  
0001394541 NOV. 21. 20

Joseph H. "Joe" Riley, II  
P O Box 51884  
Lafay

715 NFE 100371410011/20/24  
NOTIFY SENDER OF NEW ADDRESS  
RILEY II  
715 SAINT THOMAS ST  
LAFAYETTE LA 70508-4336

EC: 70506433615 \*2493-88388-21-48

70506433615 E



EXHIBIT 10

STATE OF LOUISIANA  
DEPARTMENT OF STATE CIVIL SERVICE  
**LOUISIANA BOARD OF ETHICS**  
P. O. BOX 4368  
BATON ROUGE, LA 70821  
(225) 219-5600  
FAX: (225) 381-7271  
1-800-842-6630  
[www.ethics.state.la.us](http://www.ethics.state.la.us)

November 21, 2014

## IMPORTANT NOTICE

You are required to file an additional campaign finance **Form 102 - Candidate's Report** because you participated in the **November 4, 2014** primary election. Specific reporting is as follows:

**10<sup>th</sup> Day Prior to the General Report (10-G) due by November 26, 2014.** This report is required by all candidates in the primary. It should cover the period from October 16, 2014 through November 16, 2014.

If you have reason to believe that you are not required to file this report, please contact the **Campaign Finance Division immediately at (225) 219-5600 or (800)842-6630.** Your receipt of this notice means that we consider you to be required to file this report.

Candidates in the runoff will be required to file additional reports. After election results are made available to us, we will send correspondence regarding additional reports that must be filed.

**AUTOMATIC LATE FEES WILL BE ASSESSED FOR ANY REPORT THAT IS FILED AFTER NOVEMBER 26, 2014.**

EXHIBIT 11

**CANDIDATE'S REPORT**

(to be filed by a candidate or his principal campaign committee)

## 1. Qualifying Name and Address of Candidate

JOSEPH H. RILEY, II  
715 St. Thomas Street  
Lafayette, LA 70506

## 2. Office Sought (Include title of office as well as parish, city, town and/or election district.)

City Judge  
Lafayette, City of Lafayette  
Lafayette Parish

**OFFICE USE ONLY**

Report Number: 46057

Date Filed: 1/1/2015

## Report includes Schedules:

Schedule A-1  
Schedule E-1

3. Date of Primary 11/4/2014This report covers from 10/16/2014 through 11/16/2014

## 4. Type of Report:

☐ 180th day prior to primary      ☐ 40th day after general  
☐ 90th day prior to primary      ☐ Annual (future election)  
☐ 30th day prior to primary      ☐ Supplemental (past election)  
☐ 10th day prior to primary  
☒ 10th day prior to general      ☐ Amendment to prior

## 5. FINAL REPORT if:

☐ Withdrawn      ☒ Filed after the election AND all loans and debts paid  
☐ Unopposed

6. Name and Address of Financial Institution  
(You are required by law to use one or more banks, savings and loan associations, or money market mutual fund as the depository of all campaign funds.)

IBERIABANK  
1001 Johnston Street  
  
Lafayette, LA 70501

## 7. Full Name and Address of Treasurer

DANA K LARRIMER  
715 St. Thomas Street  
  
Lafayette, LA 70506

9. Name of Person Preparing Report **DANA K LARRIMER**

Daytime Telephone --

10. WE HEREBY CERTIFY that the information contained in this report and the attached schedules is true and correct to the best of our knowledge, information and belief, and that no expenditures have been made nor contributions received that have not been reported herein, and that no information required to be reported by the Louisiana Campaign Finance Disclosure Act has been deliberately omitted.

This \_\_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_

Joseph H. Riley, II

Signature of Candidate/Chairperson  
(To be signed by Chairperson *only* if report by principal campaign committee)

337-442-5145

Daytime Telephone

Dana K Larrimer

Signature of Treasurer

--  
Daytime Telephone

8. FOR PRINCIPAL CAMPAIGN COMMITTEES ONLY  
a. Name and address of principal campaign committee, committee's chairperson, and subsidiary committees, if any (use additional sheets if necessary).

On attached sheet

EXHIBIT 12



Search or Enter a Tracking Number



Quick Tools

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Track & Manage

Postal Store

Business

International

Help

## USPS Tracking®



Customer Service ›  
Have questions? We're here to help.



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Tracking Number: 70142120000154222548



Updated Delivery Day: Wednesday, January 7, 2015

### Product & Tracking Information

Postal Product:

Features:  
Certified Mail™

DATE & TIME	STATUS OF ITEM	LOCATION
January 12, 2015, 12:35 pm	Delivered	LAFAYETTE, LA 70506

### Available Actions

EXHIBIT 13

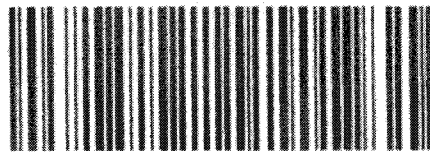
LOUISIANA BOARD OF ETHICS  
P. O. Box 4368  
Baton Rouge, LA 70821

YN  
1/7/15  
PT

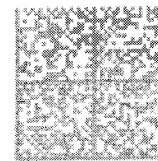
7014 2120 0001 5422 2548

PLACE STICKER AT TOP OF ENVELOPE TO THE RIGHT  
OF THE RETURN ADDRESS. FOLD AT DOTTED LINE

**CERTIFIED MAIL**



7014 2120 0001 5422 2548



U.S. POSTAGE



ZIP 70802 \$ 003.78<sup>0</sup>  
02 1W  
0001394943 DEC 02 2014

Joseph H. "Joe" Riley,  
P O Box 51884  
Lafayette, LA 70505



RILE884 705054018-1714 12/31/14  
NOTIFY SENDER OF NEW ADDRESS  
RILEY  
715 SAINT THOMAS ST  
LAFAYETTE LA 70506-4336





EXHIBIT 14

STATE OF LOUISIANA  
DEPARTMENT OF STATE CIVIL SERVICE  
**LOUISIANA BOARD OF ETHICS**

P. O. BOX 4368  
BATON ROUGE, LA 70821  
(225) 219-5600  
FAX: (225) 381-7271  
1-800-842-6630  
www.ethics.state.la.us

December 2, 2014

Joseph H. "Joe" Riley, II  
P O Box 51884  
Lafayette, LA 70505

CERTIFIED MAIL

NO. 70142120000154222548

RETURN RECEIPT REQUESTED

**NOTICE OF DELINQUENCY**

Dear Mr. Riley:

Your **10-G (10 days prior to general)** campaign finance disclosure report for the **November 4, 2014** election, which was due November 26, 2014, has not yet been received. You are subject to automatic late filing fees for every day that report is late.

**A LATE FILING FEE OF \$60 PER DAY IS NOW BEING  
ASSESSED FOR EVERY DAY YOUR REPORT IS LATE.**

**All candidates for Major or District level offices are required to electronically file their financial disclosure reports. Electronic filing for all other candidates is voluntary.**

If your report is not filed by December 12, 2014, additional penalties of up to \$10,000 may be imposed by the Board of Ethics after an administrative hearing is conducted.

Once your report is received, you will be sent a notice for the total late fees assessed.

EXHIBIT 15



Search or Enter a Tracking Number



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## USPS Tracking®



Customer Service ›  
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Tracking Number: 70150640000317160261



Delivered

Updated Delivery Day: Wednesday, October 14, 2015

### Product & Tracking Information

Postal Product:

Features:  
Certified Mail™

DATE & TIME	STATUS OF ITEM	LOCATION
October 23, 2015, 11:38 am	Delivered	LAFAYETTE, LA 70506

### Available Actions

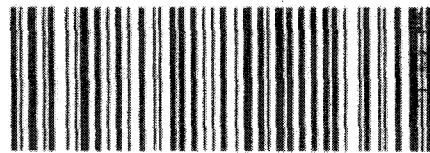
Text Updates

Email Updates

EXHIBIT 16

LOUISIANA BOARD OF ETHICS  
P. O. Box 4368  
Baton Rouge, LA 70821

CERTIFIED MAIL



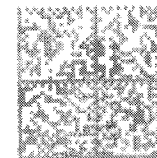
7015 0640 0003 1716 0261

ROUGE

LA 707

9 OCT '15

PM 41



U.S. POSTAGE >> PITNEY BOWES

ZIP 70802 \$ 006.73<sup>5</sup>  
02 1W  
0001394943 OCT 09 2015

Joseph H. "Joe" Riley, II  
715 St. Thomas St.  
Lafayette, LA 70506

DE: Late fee payment for the November 4, 2014 filing

70506433615





STATE OF LOUISIANA  
DEPARTMENT OF STATE CIVIL SERVICE  
**LOUISIANA BOARD OF ETHICS**

P. O. BOX 4368  
BATON ROUGE, LA 70821  
(225) 219-5600  
FAX: (225) 381-7271  
1-800-842-6630  
www.ethics.state.la.us

**CERTIFIED MAIL**

NO. 70150640000317160261

RETURN RECEIPT REQUESTED

October 9, 2015

Joseph H. "Joe" Riley, II  
715 St. Thomas St.  
Lafayette, LA 70506

RE: Late fee assessment for the November 4, 2014 Election  
10-G Campaign Finance Disclosure Report filed 36 days late

Dear Joseph H. "Joe" Riley, II:

Enclosed is an assessment of a late fee pursuant to La R.S. 18:1505.4 and La. R.S. 18:1511.4.1. Please submit a check or money order in the amount of **\$1,000** payable to the Treasurer of the State of Louisiana to P.O. Box 4368, Baton Rouge, LA 70821. **Please note that late fees can only be paid by the candidate or by his campaign.**

**You have 20 days the receipt of this letter to dispute this assessment. You may elect to do either or both of the following:**

1. You may submit your request for a waiver to the attention of the Louisiana Board of Ethics.

Waivers are handled by the Ethics Board. The Board of Ethics may reduce, suspend, or decline to waive a late fee assessment based on the cause shown by the person, candidate, or political committee. If you submit a request for a waiver of the late fee to the Board of Ethics, your request should contain details as to why, in your opinion, you have good cause why your report was not timely filed. Also, if you would like to appear before the Board of Ethics in connection with your request, you should state that in your letter. The request should be submitted to the attention of the Board of Ethics at the following address: P. O. Box 4368, Baton Rouge, LA 70821 or fax at (225) 381-7271.

2. You may appeal **the assessment** directly to the Ethics Adjudicatory Board (EAB).

Appeals to the EAB are proceedings before a three judge panel. The determination is made regarding whether the person, candidate, or political committee was required to file the report and whether the report was filed late. Mitigating factors are not considered during an EAB proceeding. The EAB does not have the authority to reduce, suspend, or waive a late fee assessment. If you choose to appeal the assessment to the EAB, you should submit your request for an appeal to the attention of the Executive Secretary of the Louisiana Board of Ethics at P. O. Box 4368, Baton Rouge, LA 70821 or fax at (225) 381-7271.

If you timely submit a waiver request or appeal, your name will not be posted on the website pending the result of your appeal. Furthermore, if you request an appearance, you will be notified of the place and time prior to the meeting.

If you do not **pay or dispute** the assessment of the late fee within 20 days from the receipt of this letter, the matter will be forwarded to the Attorney General's Office to pursue collection. If the matter is forwarded to the Attorney General's Office for collection, you may be responsible for all additional costs incurred. Additionally, late fees not paid by the due date will be posted on the agency website.

***You should be aware that unpaid campaign finance fines, fees or penalties may have an adverse effect on your ability to run for public office in the future, as the Board of Ethics will object to your future qualifications for candidacy for public office pursuant to La. R.S. 18:491 and R.S. 18:492.***

Sincerely,

LOUISIANA BOARD OF ETHICS

*Angela Newsom*

Angela Newsom

**STATE OF LOUISIANA LOUISIANA BOARD OF ETHICS**  
acting in its capacity as the  
**SUPERVISORY COMMITTEE ON CAMPAIGN FINANCE DISCLOSURE**

**In Re:        Joseph H. "Joe" Riley, II**  
**November 4, 2014 Election**

**LATE FEE ASSESSMENT ORDER**

**WHEREAS**, Joseph H. "Joe" Riley, II, in his capacity as a candidate for City Judge / City Court, Division A, City of Lafayette in the 11/4/2014 election, and his chairman and treasurer, Dana K. Larrimer, were required to file campaign finance reports pursuant to La. R.S. 18:1484.

**WHEREAS**, La. R.S. 18:1505.1 provides that it is a violation of the Campaign Finance Disclosure Act to fail to timely file campaign finance reports.

**WHEREAS**, in accordance with La. R.S. 18:1495.4B(5), Joseph H. "Joe" Riley, II was required to file a 10th Day Prior to General Report (10-G) by November 26, 2014. Joseph H. "Joe" Riley, II filed the report on January 1, 2015 and was 36 days late.

**WHEREAS**, La. R.S. 18:1505.4A(2)(a)(ii) provides that an automatic late fee of \$60 per day (not to exceed \$2,000) be assessed against Joseph H. "Joe" Riley, II and his chairman and treasurer, Dana K. Larrimer, for this late filing. However, pursuant to Rule 1205C of the Board (LAC 52:1205C), the \$2,000 late fee assessed for the 10-G campaign finance disclosure report is reduced to \$1,000.

**ACCORDINGLY, IT IS ORDERED** that a late fee of \$1,000 is assessed against Joseph H. "Joe" Riley, II and his chairman and treasurer, Dana K. Larrimer, for failure to timely file a 10-G campaign finance disclosure report.

  
Chris Sommers - Director, Campaign Finance Division